

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number **09/588,364**Filing Date **June 2, 2000**First Named Inventor **M. Oshima et al.**Art Unit **2134**Examiner Name **P. E. Callahan**Attorney Docket No. **MTS-520US1****RECEIVED****MAY 23 2003****Technology Center 2100**Total Number of Pages in This Submission **18****ENCLOSURES (Check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/Declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ **SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication  
to Group☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply  
Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please  
identify below):**PTO Form 1449, European Office  
Action and 1 reference****Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**Firm or  
Individual**Allan Ratner**

Signature

Date

**May 23, 2003****CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Name (Print/Type)

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Signature

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

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#23  
528-03  
94**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/588,364  
Applicant: M. Oshima et al.  
Filed: June 2, 2000  
Title: MARK FORMING APPARATUS, METHOD OF FORMING LASER  
MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL  
DISK AND METHOD OF PRODUCING OPTICAL DISK  
TC/A.U.: 2134  
Confirmation: 8366  
Examiner: P. E. Callahan

**RECEIVED**

MAY 23 2003

Technology Center 2100

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The enclosed European Office Action also cites NL-A-9101358, which was already cited in the original prosecution.

More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

**STATEMENT**

The undersigned hereby states that each item of information disclosed in this Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

Respectfully submitted,

  
\_\_\_\_\_  
Allan Ratner, Reg. No. 19,717  
Attorney for Applicants

AR/fp

Enclosures: PTO Form 1449  
Copy of (#1) Reference  
Copy of European Office Action

Dated: May 23, 2003

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